

## 香港股票交易收費

### Charges for Hong Kong Securities Trading

| 費用及收費類別 Fee and Classification                                                                                               | 比率/金額 Rate/Amount                                                                                                                                                                                    |
|------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>(A) 證券交易服務 Securities Trading</b>                                                                                         |                                                                                                                                                                                                      |
| 經紀佣金<br>Commission Fee                                                                                                       | 交易金額的 0.25%(每宗交易最低收費港幣 100 元)<br>0.25% of transaction amount (Minimum HK\$100 per transaction)<br>如交易額多者可與客戶主任面議 Can negotiate with Account Executive if transactions volume is sufficient           |
| 交易征費(证监会收費)<br>Transaction Levy (charged by SFC)                                                                             | 交易金額的 0.0027%<br>0.0027% of transaction amount                                                                                                                                                       |
| 交易費(交易所收費)<br>Trading Fee (charged by SEHK)                                                                                  | 交易金額的 0.00565%<br>0.00565% of transaction amount                                                                                                                                                     |
| 印花稅<br>Stamp duty<br>注明:由 2023 年 11 月 17 日开始生效<br>Note: With effect from 17 Nov 2023                                         | 交易金額的 0.1% (不足 1 元亦作 1 元計算)<br>0.1% of transaction amount (Rounded up to the nearest dollar)<br>备注 Note: 買賣雙方均須繳付 Paid by buyer and seller                                                           |
| 中央結算費用<br>CCASS Fee                                                                                                          | 交易金額的 0.0042%<br>0.0042% of transaction amount                                                                                                                                                       |
| 意大利金融交易稅(买入有关常驻意大利公司发行份)<br>Italian financial transactions tax (For buying shares issued by the companies resident in Italy) | 当日淨买入股数 x 当日加权平均买入价 x 0.1%<br>Daily net purchased shares x weight average purchasing price x 0.1%                                                                                                    |
| 交易征費(财务汇报局收費)<br>Transaction Levy (charged by FRC)<br>注明:由 2022 年 1 月 1 日开始生效<br>Note: With effect from 1 Jan 2022           | 交易金額的 0.00015% (計至最接近的仙位數)<br>0.00015% of transaction amount (Rounded to the nearest cent)                                                                                                           |
| <b>(B) 处理实物股票及交收服务 Physical Scrip Handling &amp; Settlement Services</b>                                                     |                                                                                                                                                                                                      |
| 存入实物股票費<br>Physical Scrip Deposit                                                                                            | 每張股票/每張轉手紙收取港幣 5 元<br>HK\$5 per certificate/ for each transfer deed                                                                                                                                  |
| 提取实物股票費<br>Withdrawal of Physical Scrip                                                                                      | 每手港幣 5 元, 另每只股票收手續費港幣 50 元<br>HK\$5 per board lot and HK\$50 handling fee for each stock                                                                                                             |
| 交收指示費/投資者交收指示費(适用于转出股票)<br>Settlement Instruction (SI)/ Investor Settlement Instruction (ISI) (Apply for share transfer out) | 按股票上日收市价计之货值的 0.1%(最低收費港幣 50 元)<br>0.1% of previous market value (Minimum HK\$50)                                                                                                                    |
| 股份轉賬, 股份收取/交付(如非交易所或场外交易)<br>Stock Transfer Between Accounts, Stock Receipt/Delivery (e.g. non-Exchange or OTC transactions) | 按股票上日收市价计之货值的 0.1% (最低收費港幣 100 元)<br>0.1% of previous market value (Minimum HK\$100)<br>备注 Note:<br>收取方及交付方均須繳付 charge on both receive and deliver sides<br>必須取得有效批准 prior approval must be obtained |
| 強制性補購股份費<br>Compulsory Share Buy-In                                                                                          | 本公司手續費港幣 200 元+結算公司买入股票有關罰款及費用<br>Handling charge HK\$200 and all other charges from Clearing house & related party(ies)                                                                             |
| <b>(C) 代理人服務及企業行動 Nominee Services &amp; Corporate Actions</b>                                                               |                                                                                                                                                                                                      |
| 登記過戶費(在截止過戶日期)<br>Scrip Fee (Book Close)                                                                                     | 每手港幣 1.5 元<br>HK\$1.50 per board lot                                                                                                                                                                 |
| 代收現金股息費<br>Collection of Dividend Payment                                                                                    | 按股息金額 0.5%計算(最低收費為港幣 25 元)+ 結算公司收費(股息總額 x 0.12%)<br>0.5% on dividend payment (Minimum HK\$25) + CCASS charge (Dividend payment x 0.12%)                                                              |
| 代收紅股費<br>Collection of Bonus shares                                                                                          | 每只股票收費港幣 20 元<br>HK\$20 per stock                                                                                                                                                                    |
| 代收供股權收費 Received subscribed shares                                                                                           | 豁免 Waived                                                                                                                                                                                            |
| 代收牛熊證剩餘價值/行使備兌認股證公司行動費<br>Callable Bull/Bear Contracts residual value/ Corporate action fee for exercising covered warrants  | 手續費港幣 30 元+結算公司收費(每手收港幣 0.8 元, 最高收費為港幣 10,000 元)<br>Handling charge HK\$30 + CCASS charge (HK\$0.80 per lot, Maximum HK\$10,000)                                                                     |
| 處理股票拆細、合併、公司投票費<br>Share Split/ Consolidation/ Voting                                                                        | 豁免 Waived                                                                                                                                                                                            |
| 代客行使供股權費<br>Rights Subscription                                                                                              | 每次收費港幣 50 元+結算公司收費(每手收港幣 0.8 元, 最高收費為港幣 10,000 元)<br>HK\$50 for each subscription + CCASS charge (HK\$0.80 per lot, Maximum HK\$10,000)                                                              |
| 申請額外供股權費<br>Additional Rights Subscription                                                                                   | 每次收費港幣 50 元<br>HK\$50 for each subscription                                                                                                                                                          |
| 行使認股權證、公司私有化、收購費<br>Warrant Exercise/ Privatization/ Share offer                                                             | 0.25%(最低收費港幣 50 元)+結算公司收費(每手收港幣 0.8 元, 最高收費為港幣 10,000 元)+印花稅<br>0.25% (Minimum HK\$50) + CCASS charges (HK\$0.80 per lot, Maximum HK\$10,000)+Stamp duty                                             |
| 在股份登記處辦理轉名費 Transfer of share ownership in Share registration                                                                | 每手港幣 5 元,另每只股票收手續費港幣 200 元<br>HK\$5 per board lot +handling fee HK\$200 on each stock                                                                                                                |

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| 处理追收未領取权益<br>Handling of unclaimed benefits entitlement                                       | 每单手续费港币 600 元+股息金额的 0.5%+其他相关之费用<br>HK\$600 per claim + 0.5% of gross dividend amount + any out of pocket expenses                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>(D) 借貸及新股认购服务 Financing and IPO Subscription Services</b>                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 现金账户逾期交收利息(年息) (港币、美金、人民币)<br>Cash Account Overdue Settlement Interest (p.a.) (HKD, USD, CNY) | 12%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 保证金帐户借贷利息(年息) (港币)<br>Margin Financing Interest (p.a.) (HKD)                                  | 按揭价值内欠款按股份组别区分之年利率:<br>Margin financing interest is calculated based on stock category:<br>组别 ETF 之欠款年利率为 5.250%;<br>Debit interest for ETF is 5.250%;<br>组别 A (主要包括恒生指数成分股及恒生中国企业指数成分股)之欠款年利率为 5.250%;<br>Debit interest for Group A (mostly constituent stocks of HSI or HSCEI) is 5.250%;<br>组别 B (主要包括恒生香港大型/中型股指数成分股)之欠款年利率为 5.500%;<br>Debit interest for Group B (mostly constituent stocks of HSI LargeCap/MidCap Index) is 5.500%;<br>组别 C(本公司指定股票)之欠款年利率为 6.500%;<br>Debit interest for Group C (selective stocks) is 6.500%;<br>股份如未被归类于组别 A、B 或 C,欠款年利率为 7.500%;<br>Debit interest for stocks other than Group A, B or C is 7.500%;<br>按揭价值外 Exceed Margin Value : 12%<br><br>欢迎联络客户经理或致电客户服务部查询各类股票之所属股份组别及按揭比率<br>Please contact your Account Executive or via our customer service hotline for the stock category group and margin ratio of individual stocks. |
| 保证金帐户借贷利息(年息) (美金)<br>Margin Financing Interest (p.a.) (USD)                                  | 按揭价值内欠款按股份组别区分之年利率:<br>Margin financing interest is calculated based on stock category:<br>组别 ETF 之欠款年利率为 7.0%;<br>Debit interest for ETF is 7.0%;<br>组别 A 之欠款年利率为 7.0%;<br>Debit interest for Group A is 7.0%;<br>组别 B 之欠款年利率为 7.25%;<br>Debit interest for Group B is 7.25%;<br>组别 C 之欠款年利率为 8.25%;<br>Debit interest for Group C is 8.25%;<br>股份如未被归类于组别 A, B 或 C, 欠款年利率为 9.25%<br>Debit interest for stocks other than Group A, B or C is 9.25%<br>按揭价值外 Exceed Margin Value : 12%<br><br>欢迎联络客户经理或致电客户服务部查询各类股票之所属股份组别及按揭比率<br>Please contact your Account Executive or via our customer service hotline for the stock category group and margin ratio of individual stocks.                                                                                                                                                                                             |
| 保证金帐户借贷利息(年息) (人民币)<br>Margin Financing Interest (p.a.) (CNY)                                 | 股份按揭价值内之欠款年利率为 P+3.25%<br>Within Margin Value: P+3.25%<br>股份按揭价值外之欠款年利率为 12%<br>Exceed Margin Value : 12%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 提款收费<br>Cash Withdrawal Fee                                                                   | 转数快 - 免费 FPS - Waived<br>支票 - 免费 Cheque - Waived<br>银行跨行即时转款 local transfer (CHATS) - HKD\$150<br>海外电汇 Telegraphic transfer (TT) - HKD\$300                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 退票费用<br>Return Cheque                                                                         | 每次港币 100 元<br>HK\$100 per transaction                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 认购新股手续费 (经中央结算系统)<br>EIPO Subscription (via CCASS)                                            | 豁免<br>Waived                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 认购新股手续费 (贷款认购)<br>MIPO Subscription (Margin Finance)                                          | 港币 120 元<br>HK\$120                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 认购新股的借贷利息收费<br>MIPO Subscription Financing Interest                                           | 因应市场利率而定<br>According to prevailing market rate                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 新股暗盘买卖<br>IPO Grey Market Trading                                                             | 交易金额的 0.03% +平台费(如有) (附加在原有交易佣金之上)<br>0.03% of transaction amount + platform fee (if any) (commingled with the existing commission fee)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>(E) 沪股通及深股通交易服务 Northbound Trading</b>                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 经纪佣金<br>Commission Fee                                                                        | 成交金额的 0.25%(每宗交易最低收费人民币 80 元)<br>0.25% of transaction amount( Minimum RMB\$80 per transaction)<br>如交易额多者可与客户主任面议 Can negotiate with Account Executive if transactions volume is sufficient                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 经手费(上交所及深交所收费)<br>Handling Fee (charged by SSE and SZSE)                                      | 成交金额的 0.00341%<br>0.00341% of transaction amount                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |

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| 证管费(中国证监会收费)<br>Securities Management Fee(charged by CSRC)                                            | 成交金额的 0.002%<br>0.002% of transaction amount                                                                                                                                                                              |
| 过户费(中国结算收费)<br>Transfer Fee (charged by ChinaClear)                                                   | 成交金额的 0.001%，根据中国结算的沪港通及深港通规则支付<br>0.001% of the consideration of each transaction per side payable under ChinaClear's Shanghai-Hong Kong Stock Connect & Shenzhen-Hong Kong Stock Connect Rules                          |
| 过户费(中央结算收费)<br>Transfer Fee (charged by CCASS)                                                        | 成交金额的 0.002%，根据中央结算系统一般规则支付，作为香港结算提供沪股及深股结算<br>0.002% of the consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC |
| 印花税 (国家税务总局收费)<br>Stamp duty (charged by SAT)                                                         | 卖方成交金额的 0.05%<br>0.05% of Seller's transaction amount                                                                                                                                                                     |
| 股票托管费(新的中央结算系统收费)<br>Portfolio Fee (New CCASS Fee)                                                    | 每天 A 股股票组合价值 x 0.008%/365 x 当月持有股份之日数<br>Day-end A Shares portfolio amount x 0.008%/365 x days of stock held within the month<br>本公司会豁免股票托管费直至 2017 年 12 月 31 日 Portfolio fee will be waived until 31 Dec 2017            |
| 代收现金股息费<br>Collection of Dividend Payment                                                             | 按股息金额 0.5% 计算(最低收费为人民币 20 元)<br>0.5% on dividend payment (Minimum RMB\$20)                                                                                                                                                |
| 代收红股费<br>Collection of Bonus shares                                                                   | 每只股票收费人民币 20 元<br>RMB\$20 per stock                                                                                                                                                                                       |
| 交收指示费(适用于转出股票)<br>Settlement Instruction (SI) (Apply for share transfer out)                          | 按股票上日收市价计之货值的 0.1%(最低收费人民币 50 元)<br>0.1% of previous market value (Minimum RMB\$50)                                                                                                                                       |
| <b>(F) 其他帐户服务 Other Account Services</b>                                                              |                                                                                                                                                                                                                           |
| 托管费<br>Custody Fee                                                                                    | 豁免<br>Waived                                                                                                                                                                                                              |
| 不动户口服务费<br>Dormant Account Fee                                                                        | 豁免<br>Waived                                                                                                                                                                                                              |
| 重印成交单/日结单/月结单<br>Re-print Daily/Monthly Statement                                                     | 于 3 个月之内可免费重印 (超过 3 个月每份港币 100 元)<br>Waive if statement date within 3 months (HK\$100 if statement date over 3 months)                                                                                                    |
| 邮寄纸张结单费<br>Fee for physical statement<br>注明:由 2021 年 3 月 1 日开始生效<br>Note: With effect from 1 Mar 2021 | 每月港币 10 元<br>HK\$10 per month                                                                                                                                                                                             |
| 资产证明信函<br>Letter of Confirmation on Asset                                                             | 每封信港币 200 元<br>HK\$200 per letter                                                                                                                                                                                         |
| 帐户盈亏确认函<br>Account Profit and Loss Confirmation Letter                                                | 每封信每年港币 1,000 元<br>HK\$1,000 per letter per year                                                                                                                                                                          |

Notes 备注:

以上收费仅供参考，本公司可随时修订而不另行通知。客户如有任何查询，请联络本公司客户主任。

The charges above are for reference only, charges are subject to change by the Company at any time without prior notice. Please contact your Account Executive if you have any enquiries.