

債券/存款証收費表
Service Charges for Bonds /Certificates of Deposit

費用及收費類別 Fee and Classification	比率/金額 Rate/Amount
交易佣金 Commission Fee	視不同產品而定 Depends on the product
月度託管費 Monthly Custodian Fee	0.03%(年率) 按每月底交易幣別市值收取 (最低每月 USD 1/ HKD 8, 如交易幣別非 USD/HKD, 則等值 USD 1) 0.03% p.a., calculated on month-end market value in trading currency (minimum USD 1 / HKD 8 per month, or equivalent to USD 1 if non USD/HKD trading currency)
轉倉/轉戶費 Transfer Fee	轉入: 免費 Transfer in: Waived 轉出: 每只債券 USD 100 / HKD 800 Transfer out: USD 100 / HKD 800 per bond
代收利息費用 Coupon Interest collection Fee	免費 Waived
債券換股費 Conversion to Equities	每只債券每次 USD 68 / HKD 500 USD 68/ HKD 500 per issue
自願性公司行動 (包括交換要約/徵求同意要約/揭露請求/非美國投資者稅法條款認證/預扣稅減免證明/回購要約/自願性轉換/知情同意等) Voluntary Corporate Actions (Including Exchange Offers / Consent Solicitations / Disclosure Requests / Non-U.S. Investor Tax Certification / Withholding Tax Relief Documentation / Tender Offers / Voluntary Conversions / Informed Consents, etc.)	每宗 USD38 / HKD 300 USD 38 / HKD 300 per transaction

Notes 备注:

以上收費僅供參考, 本公司可隨時修訂而不另行通知。客戶如有任何查詢, 請聯絡本公司客戶主任。

The charges above are for reference only, charges are subject to change by the Company at any time without prior notice. Please contact your Account Executive if you have any enquiries.