

Securities Settlement Instruction (S.I.) / Investor Settlement Instruction (I.S.I.)
證券交收指示(SI) / 投資者交收指示(ISI)

 致: 中國銀河國際證券(香港)有限公司 (CCASS ID:B01929)
 To: China Galaxy International Securities (Hong Kong) Co., Limited
 Fax No. / 傳真號碼: 3698-6951

日期 Date: _____

客戶名稱 Account Name : _____

客戶帳號 Account No.: _____

<input type="checkbox"/>	S.I.	請將下列證券收到本人/吾等上述證券帳戶
<input type="checkbox"/>	I.S.I.	Please RECEIVE the following securities for my /our above securities account
<input type="checkbox"/>	S.I.	請從本人/吾等上述證券帳戶交付證券
<input type="checkbox"/>	I.S.I.	Please DELIVER the following securities from my/our above securities account

交收指示資料 Details for Settlement Instruction
交收指示生效日期 SI Effective Date:

交收公司名稱 Name of Counter-party :	中央結算編號 CCASS ID
聯絡人姓名 Contact Person	聯絡人電話 Phone No

有關股票資料如下 **Details of share(s)** *請用正楷填寫, 並在適當的地方加上剔號。* Please complete in **block letters** and tick where applicable.

股票代號 Stock Code	股票名稱 Stock Name	股票數目 Quantity	交收方式 Settlement Method
			<input type="checkbox"/> 無須付款 Free of payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()
			<input type="checkbox"/> 無須付款 Free of payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()
			<input type="checkbox"/> 無須付款 Free of payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()
			<input type="checkbox"/> 無須付款 Free of payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()

本人/吾等確認上述股票的實益擁有權並沒有因進行交收指示而出現變動。本人/吾等亦承諾就本交收指示所可能令貴公司蒙受或承擔的任何費用或開支, 當中包括但不限於印花稅,向貴公司作出彌補及使之獲得彌償。

I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

向中央結算申請登記及過戶費用豁免 Apply Scrip Fee Concession with CCASS (Note 3)	<input type="checkbox"/>
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客戶簽署 Client's Signatures

請用留存本行紀錄之簽署式樣

Please use signature(s) filed with China Galaxy International Securities (Hong Kong) Co., Ltd

注意 Note:

- 即日執行之指示需於中午十二時前交付或傳真至交收部
Please note that instruction for same day settlement must reach us before 12:00 noon on the settlement day.
- 原有證券公司或銀行之登記姓名必須與您的中國銀河國際證券(香港)有限公司戶口之登記姓名相同
The account name of where the securities is being transferred from must be exactly the same as the beneficiary account name with China Galaxy International Securities (Hong Kong) Co., Limited.
- 如客戶須轉入之股票已繳交登記及過戶費每手港幣 1.50 予中央結算, 客戶可於股票轉入本公司前申請登記及過戶費用豁免, 登記及過戶費用豁免每次申請手續費為港幣 1,500 元, 申請需時 10 個工作天。
If you have paid the scrip fee of HK\$1.50 per board lot to CCASS for the stock to be transferred. You may apply for the Scrip Fee Concession before the stock transferred to our company. The handling charges for the application of Scrip Fee Concession is HK1, 500 per application and require 10 working days.

For Official Use Only		
S.V.	Input By	Approved / Checked By